

# Analysis approaches for polytopal schemes – the linear and nonlinear cases

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 **NEMESIS**

New generation methods  
for numerical simulations

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# Research cluster 3: Taming physical complexity

- Tools for incomplete differential operators
  - Development of **Discrete Functional Analysis** (DFA) for differential operators beyond the gradient
  - Development of general **analysis frameworks** covering problems involving such operators
  - Extension of the above tools to problems set on manifolds
- Hybrid-dimensional and interface problems
  - Mesh transfer operators and efficient algorithms for moving meshes
  - Application of PEC to systems of PDEs featuring heterogeneous dimensionality
  - Applications to moving domain, contact, and model fluid-structure interaction problems



## 1 Linear models: error estimates

- Stability
- Consistency

## 2 Nonlinear analysis

## 1 Linear models: error estimates

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## 2 Nonlinear analysis

# 3rd Strang Lemma

- Continuous space  $U$ , discrete space  $U_h$  with norm  $\|\cdot\|_{U,h}$ .

- **Continuous** problem:

Find  $u \in U$  such that  $a(u, v) = \ell(v)$  for all  $v \in U$ .

- **Discrete** problem:

Find  $u_h \in U_h$  such that  $a_h(u_h, v_h) = \ell_h(v_h)$  for all  $v_h \in U_h$ .

- Assume the **discrete inf-sup condition**

$$\sup_{v_h \in U_h \setminus \{0\}} \frac{a_h(w_h, v_h)}{\|v_h\|_{U,h}} \geq \alpha \|w_h\|_{U,h} \quad \forall w_h \in U_h.$$



# 3rd Strang Lemma

## Lemma (3rd Strang Lemma (1))

Let  $I_h u \in U_h$  be an “interpolate” of the continuous solution in the discrete space. Then,

$$\|I_h u - u_h\|_{U,h} \leq \alpha^{-1} \sup_{v_h \in U_h \setminus \{0\}} \frac{\mathcal{E}_h(u; v_h)}{\|v_h\|_{U,h}}$$

where the consistency error is

$$\mathcal{E}_h(u; v_h) = \ell_h(v_h) - a_h(I_h u, v_h) \quad \forall v_h \in U_h.$$

- Under uniform continuity of  $a_h$ , this is actually  $\simeq$ .

# Model problem: Stokes in curl-curl formulation

- $\Omega$  bounded (polytopal) domain,  $\mathbf{f} \in L^2(\Omega)^d$ ,  $\nu > 0$ .
- Find  $(\mathbf{u}, p)$  s.t. <sup>(2)</sup>

$$\begin{aligned}\nu \mathbf{curl} \mathbf{curl} \mathbf{u} + \mathbf{grad} p &= \mathbf{f} && \text{in } \Omega, \\ \operatorname{div} \mathbf{u} &= 0 && \text{in } \Omega, \\ \mathbf{u} \times \mathbf{n} &= 0 \text{ and } p = 0 && \text{on } \partial\Omega.\end{aligned}$$

- **Weak form:** Find  $(\mathbf{u}, p) \in \mathbf{H}_0(\mathbf{curl}; \Omega) \times H_0^1(\Omega)$  s.t.

$$\begin{aligned}\nu(\mathbf{curl} \mathbf{u}, \mathbf{curl} \mathbf{v})_{\Omega} + (\mathbf{grad} p, \mathbf{v})_{\Omega} &= (\mathbf{f}, \mathbf{v})_{\Omega} \quad \forall \mathbf{v} \in \mathbf{H}_0(\mathbf{curl}; \Omega), \\ -(\mathbf{u}, \mathbf{grad} q)_{\Omega} &= 0 \quad \forall q \in H_0^1(\Omega).\end{aligned}$$

<sup>2</sup>[Girault, 1990]

# Discrete setup and scheme

- Discrete spaces and operators, in a complex.

$$\begin{array}{ccccc} H_0^1(\Omega) & \xrightarrow{\text{grad}} & H_0(\mathbf{curl}; \Omega) & \xrightarrow{\text{curl}} & H_0(\text{div}; \Omega) \\ P_h & \xrightarrow{\mathbf{G}_h} & U_h & \xrightarrow{\mathbf{C}_h} & Z_h \end{array}$$

- $L^2$ -like inner products  $(\cdot, \cdot)_{U,h}$  and  $(\cdot, \cdot)_{Z,h}$  on the discrete spaces  $U_h, Z_h$ .
- Approximation  $\langle \mathbf{f}_h, \cdot \rangle : U_h \rightarrow \mathbb{R}$  of  $(\mathbf{f}, \cdot)_\Omega$ .
- **Scheme** <sup>(3)</sup>: Find  $(\mathbf{u}_h, p_h) \in U_h \times P_h$  s.t.

$$\begin{aligned} \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{Z,h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} &= \langle \mathbf{f}_h, \mathbf{v}_h \rangle \quad \forall \mathbf{v}_h \in U_h, \\ -(\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h} &= 0 \quad \forall q_h \in P_h. \end{aligned}$$

<sup>3</sup>[Beirão da Veiga et al., 2022a, Di Pietro et al., 2024]



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# Inf-sup condition I

Scheme:  $a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \langle \mathbf{f}_h, \mathbf{v}_h \rangle$  with

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{\mathbf{Z},h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{\mathbf{U},h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{\mathbf{U},h}.$$

Let

$$\mathcal{S} = \sup_{(\mathbf{v}_h, q_h)} \frac{a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h))}{\|(\mathbf{v}_h, q_h)\|_h}$$

$$\text{with } \|(\mathbf{v}_h, q_h)\|_h := \|\mathbf{v}_h\|_{\mathbf{U},h} + \|\mathbf{C}_h \mathbf{v}_h\|_{\mathbf{Z},h} + \|\mathbf{G}_h q_h\|_{\mathbf{U},h}.$$

- Make  $\mathbf{v}_h = \mathbf{u}_h + \mathbf{G}_h p_h$ ,  $q_h = p_h$  and use the

Discrete complex property  $\mathbf{C}_h \circ \mathbf{G}_h = 0$

$$\leadsto \nu \|\mathbf{C}_h \mathbf{u}_h\|_{\mathbf{Z},h}^2 + \|\mathbf{G}_h p_h\|_{\mathbf{U},h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

Reminders:

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{Z,h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h}$$
$$\nu \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 + \|\mathbf{G}_h p_h\|_{U,h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

- Decompose  $\mathbf{u}_h = \mathbf{u}_h^\perp + \mathbf{u}_h^* \in (\text{Ker } \mathbf{C}_h)^\perp \oplus \text{Ker } \mathbf{C}_h$ .
- Use the:

Discrete Poincaré inequality:  $\|\mathbf{v}_h\|_{U,h} \lesssim \|\mathbf{C}_h \mathbf{v}_h\|_{Z,h}$  for all  $\mathbf{v}_h \in (\text{Ker } \mathbf{C}_h)^\perp$ .

to get

$$\|\mathbf{u}_h^\perp\|_{U,h}^2 \lesssim \|\mathbf{C}_h \mathbf{u}_h^\perp\|_{Z,h}^2 = \|\mathbf{C}_h \mathbf{u}_h\|_{Z,h}^2 \lesssim \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

# Inf-sup condition III

Reminders:

$$a_h((\mathbf{u}_h, p_h), (\mathbf{v}_h, q_h)) = \nu(\mathbf{C}_h \mathbf{u}_h, \mathbf{C}_h \mathbf{v}_h)_{\mathbf{Z},h} + (\mathbf{G}_h p_h, \mathbf{v}_h)_{U,h} - (\mathbf{u}_h, \mathbf{G}_h q_h)_{U,h}$$
$$\nu \|\mathbf{C}_h \mathbf{u}_h\|_{\mathbf{Z},h}^2 + \|\mathbf{G}_h p_h\|_{U,h}^2 + \|\mathbf{u}_h^\perp\|_{U,h}^2 \leq \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

with  $\mathbf{u}_h = \mathbf{u}_h^\perp + \mathbf{u}_h^* \in (\text{Ker } \mathbf{C}_h)^\perp \oplus \text{Ker } \mathbf{C}_h$ .

- Assuming  $\Omega$  has a trivial topology, we have  $\mathbf{u}_h^* = \mathbf{G}_h r_h$  thanks to the

Exactness of the discrete complex  $\text{Ker } \mathbf{C}_h = \text{Im } \mathbf{G}_h$

- Plug  $(\mathbf{v}_h, q_h) = (0, r_h)$  and use the Young inequality:

$$\|\mathbf{u}_h^*\|_{U,h}^2 \lesssim \mathcal{S} \|(\mathbf{u}_h, p_h)\|_h.$$

$$P_h \xrightarrow{G_h} U_h \xrightarrow{C_h} Z_h$$

**Take-home message:** For stability, the discrete sequence must:

- be a **complex** (discrete calculus relations),
- respect the **cohomology** (e.g. exactness) of the continuous complex,
- satisfy uniform **Poincaré inequalities** for operators in the complex.

*Examples:*

- Finite Element Exterior Calculus  
[Arnold et al., 2006, Arnold, 2018, Arnold and Hu, 2021].
- Virtual Element Method [Beirão da Veiga et al., 2018, Beirão da Veiga et al., 2021].
- Discrete De Rham method [Di Pietro et al., 2020, Di Pietro and Droniou, 2021b, Di Pietro and Droniou, 2023, Di Pietro and Hanot, 2024].



## 1 Linear models: error estimates

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## 2 Nonlinear analysis

# Splitting the consistency error

- The consistency error is ( $I_h$  interpolators in the proper space):

$$\begin{aligned}\mathcal{E}_h(\mathbf{u}; \mathbf{v}_h) &= \langle \mathbf{f}_h, \mathbf{v}_h \rangle \\ &\quad - \left[ \nu (\mathbf{C}_h(I_h \mathbf{u}), \mathbf{C}_h \mathbf{v}_h)_{\mathbf{Z},h} + (\mathbf{G}_h(I_h p), \mathbf{v}_h)_{\mathbf{U},h} - (I_h \mathbf{u}, \mathbf{G}_h q_h)_{\mathbf{U},h} \right].\end{aligned}$$

- Recall that  $\mathbf{f} = \nu \mathbf{curl} \mathbf{curl} \mathbf{u} + \mathbf{grad} p$  and split the consistency error:

$$\begin{aligned}\mathcal{E}_h(\mathbf{u}; \mathbf{v}_h) &= \nu \left[ \langle (\mathbf{curl} \mathbf{curl} \mathbf{u})_h, \mathbf{v}_h \rangle - ((\mathbf{C}_h \circ I_h) \mathbf{u}, \mathbf{C}_h \mathbf{v}_h)_{\mathbf{Z},h} \right] \\ &\quad + \left[ \langle (\mathbf{grad} p)_h, \mathbf{v}_h \rangle - ((\mathbf{G}_h \circ I_h) p, \mathbf{v}_h)_{\mathbf{U},h} \right] \\ &\quad - (I_h \mathbf{u}, \mathbf{G}_h q_h)_{\mathbf{U},h}.\end{aligned}$$

$$\begin{aligned}\mathcal{E}_h(u; v_h) = & \nu \left[ \langle (\mathbf{curl} \mathbf{curl} u)_h, v_h \rangle - ((\mathbf{C}_h \circ I_h)u, \mathbf{C}_h v_h)_{Z,h} \right] \\ & + \left[ \langle (\mathbf{grad} p)_h, v_h \rangle - ((\mathbf{G}_h \circ I_h)p, v_h)_{U,h} \right] \\ & - (I_h u, \mathbf{G}_h q_h)_{U,h}.\end{aligned}$$

With  $\mathcal{D}$  continuous operator,  $\mathcal{D}_h$  discrete operator and  $I_h$  interpolator:

$\mathcal{D}_h \circ I_h$  approximates  $\mathcal{D}$ .

- *Example:*  $\left[ \langle (\mathbf{grad} p)_h, v_h \rangle - ((\mathbf{G}_h \circ I_h)p, v_h)_{U,h} \right] \leq C(p)h^\ell \|v_h\|_{U,h}$ .
- *Straightforward to prove.*



# Adjoint consistency

$$\begin{aligned}\mathcal{E}_h(u; v_h) = v & \left[ \langle (\mathbf{curl} \mathbf{curl} u)_h, v_h \rangle - ((\mathbf{C}_h \circ I_h)u, \mathbf{C}_h v_h)_{Z,h} \right] \\ & + \left[ \langle (\mathbf{grad} p)_h, v_h \rangle - ((\mathbf{G}_h \circ I_h)p, v_h)_{U,h} \right] \\ & - (I_h u, \mathbf{G}_h q_h)_{U,h}.\end{aligned}$$

Approximate discrete integration by parts involving  $(\cdot)_h$ , discrete operators and interpolators.

- Examples:  $(I_h u, \mathbf{G}_h q_h)_{U,h} + \underbrace{(I_h \mathbf{div} u, q_h)_{P,h}}_0 \lesssim C(u) h^\ell \| \mathbf{G}_h q_h \|_{U,h},$

$$\left[ \langle (\mathbf{curl} \mathbf{curl} u)_h, v_h \rangle - \underbrace{((\mathbf{C}_h \circ I_h)u, \mathbf{C}_h v_h)_{Z,h}}_{I_h(\mathbf{curl} u)} \right] \lesssim C(u) h^\ell (\|v_h\|_{U,h} + \|\mathbf{C}_h v_h\|_{Z,h}).$$

- Can be very challenging to establish!



**Question:**  $w$  in functional space,  $v_h$  discrete vector,

$$\langle (\mathcal{D}^* w)_h, v_h \rangle - (I_h w, \mathcal{D}_h v_h)_h \lesssim C(w) h^\ell \|v_h\|_h.$$

# Adjoint consistency

## Fully discrete approach

- **Idea:** Introduce a suitable (local) polynomial functions  $(z_T)_{T \in \mathcal{T}_h}$  approximating  $w$  and valid in the definition of  $\mathcal{D}_h$ .
- **Challenge:** estimate a quantity

$$\begin{aligned} \langle (\mathcal{D}^* w)_h, v_h \rangle - (I_h w, \mathcal{D}_h v_h)_h \\ = \sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h. \\ \sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h \end{aligned}$$

- Optimal approximation of  $B_T(z_T - w, v_h)$  straightforward.
- **Reduced approximation** properties for *traces* of  $z_T - w$ .

# Adjoint consistency

## Fully discrete approach

- Challenge: estimate a quantity

$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

- Two easy cases:

- $\mathcal{D} = \mathbf{grad}$ : integrate by parts some volumetric terms to get

$$\sum_{T \in \mathcal{T}_h} \int_T \tilde{B}_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) (\gamma_F v_h - P_T v_h).$$

and use the properties of the (discrete) gradient to get

$$\|\gamma_F v_h - P_T v_h\|_{L^2(F)} \leq h_T \|\mathbf{grad}_T v_h\|_T.$$

# Adjoint consistency

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$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

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and use the properties of the (discrete) gradient to get

$$\|\gamma_F v_h - P_T v_h\|_{L^2(F)} \leq h_T \|\mathbf{grad}_T v_h\|_T.$$

- $\mathcal{D} = \mathbf{div}$ : degree of  $z_T$  large enough  $\rightsquigarrow$  optimal estimate on  $\|\text{tr}(z_T - w)\|_{L^2(F)}$ .



# Adjoint consistency

## Fully discrete approach

- Challenge: estimate a quantity

$$\sum_{T \in \mathcal{T}_h} \int_T B_T(z_T - w, v_h) + \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h$$

- $\mathcal{D} = \mathbf{curl}$  is the difficult case...

- Introduce an  $\mathbf{H}(\mathbf{curl}; \Omega)$  conforming  $Rv_h$  whose suitable projection on  $F$  matches  $\gamma_F v_h$ , then locally integrate by parts:

$$\begin{aligned} \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) \gamma_F v_h &\sim \sum_{T \in \mathcal{T}_h} \sum_{F \in \mathcal{F}_h} \int_F \text{tr}(z_T - w) Rv_h \\ &\stackrel{IBP}{=} \sum_{T \in \mathcal{T}_h} \int_T \widehat{B}_T(z_T - w, v_h). \end{aligned}$$

Constructing  $Rv_h$  requires to locally solve  $\mathbf{curl}$ -div problems and use **fine PDE estimates** <sup>(a)</sup>.

<sup>a</sup>[Di Pietro and Droniou, 2021a]

# Adjoint consistency

## Virtual functions approach

- **Idea:** use the conformity of  $v_h$  to integrate by parts, and introduce a (local) polynomial function...
- After straightforward approximation properties of source term:

$$\mathcal{T} = \int_{\Omega} \mathcal{D}^{\star} w v_h - (I_h w, \mathcal{D} v_h)_h.$$

**Issue:**  $(\cdot, \cdot)_h$  is a *discrete, non-conforming*  $L^2$  inner product, IBP cannot be directly used.

# Adjoint consistency

## Virtual functions approach

- After straightforward approximation properties of source term:

$$\mathcal{T} = \int_{\Omega} \mathcal{D}^* w v_h - (I_h w, \mathcal{D} v_h)_h.$$

- Introduce a piecewise polynomial function  $z_h$ , approximation of  $w$ , and use (primal) consistency of  $(\cdot, \cdot)_h$ :

$$\begin{aligned} \mathcal{T} &= \int_{\Omega} \mathcal{D}^* w v_h - \int_{\Omega} z_h \mathcal{D} v_h + (I_h w - z_h, \mathcal{D} v_h)_h \\ &= \underbrace{\int_{\Omega} \mathcal{D}^* w v_h - \int_{\Omega} w \mathcal{D} v_h}_{=0} + \underbrace{\int_{\Omega} (z_h - w) \mathcal{D} v_h}_{\text{easy}} + (I_h w - z_h, \mathcal{D} v_h)_h. \end{aligned}$$



# Adjoint consistency

## Virtual functions approach

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- Write  $I_h w - z_h = (I_h w - w) + (w - z_h)$ : we need to estimate the **interpolation error**  $I_h w - w$  on the virtual (not polynomial) space.

Estimating  $I_h w - w$  requires stability of  $I_h$ , based on **fine PDE estimates** <sup>(a)</sup> on systems involving **curl**, **div**, **grad**.

<sup>a</sup>[Beirão da Veiga et al., 2022b]

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# When can we get error estimates?

- *Remark 1:* estimate of consistency error in  $\mathcal{O}(h^\ell)$  requires some **smoothness** of the solution.
- *Remark 2:* error estimates imply **uniqueness** of the solution.

## Proposition

*Let  $u$  be a solution to the continuous model, and assume that it satisfies Assumption (A). If the following holds:*

*There exists meshes such that, with  $u_h$  solution to the scheme, for some norm  $\|\cdot\|$ , we have  $\|u_h - u\| \rightarrow 0$  as  $h \rightarrow 0$ ,*

*then, under Assumption (A), the continuous model has a unique solution.*

# When can we get error estimates?

- **Smoothness:** even for a simple (linear) Darcy flow, if the permeability is discontinuous the solution may not belong to  $H^2(\mathcal{T}_h)$ .
- **Uniqueness:**
  - *Navier-Stokes: requires smallness of data or strong smoothness assumption on the solution.*
  - *Multiphase flows in porous media, flows in fractured media, etc.: ??*

## Alternative: convergence by compactness

- Convergence by compactness:  $(u_h)_h$  solutions to the scheme.
  - Prove that  $(u_h)_h$  is bounded in a certain (strong) norm.
  - Use this bound to prove that  $(u_h)_h$  and  $(\mathcal{D}_h u_h)_h$  converge up to a subsequence to  $u$  and  $\mathcal{D}u$  in a suitable sense (typically, **strong** on  $(u_h)_h$ , weak on  $(\mathcal{D}_h u_h)_h$ ),
  - Pass to the limit to see that  $u$  solves the continuous problem.



# Alternative: convergence by compactness

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  - Pass to the limit to see that  $u$  solves the continuous problem.
- Strong compactness results (continuous case): Ascoli-Arzelà, Kolmogorov, Rellich, Aubin-Simon...
- Adapted to the discrete setting when we can **bound the discrete gradient** of  $u_h$  [Eymard et al., 2000, Di Pietro and Ern, 2010, Li et al., 2015, Droniou et al., 2018].



# Alternative: convergence by compactness

- Compactness results still **limited** for models based on **curl** and div.  
*Either finite element complexes, or non-complex polytopal methods*  
[Kikuchi, 1989, Boffi, 2001, Lemaire and Pitassi, 2024].
- Even worse when (discrete) **Sobolev embeddings** are required for the curl  
[Amrouche et al., 1998, Girault, 1990].

- Properties to establish for error estimates (stability & consistency):
  - Discrete **complex** with the same **cohomology** as the continuous one,
  - **Poincaré** inequalities (for all operators),
  - **Primal** and **adjoint** consistency properties.
- Some are easy, others much more challenging (and **ongoing**).
- For nonlinear models: **compactness** results are still lacking (a lot).



# Ongoing/future questions

- Can we have, as we do for the gradient, a generic framework of **discrete functional analysis** tools for the curl/divergence?

*Such a framework gives Poincaré, Sobolev, primal and adjoint consistency.*  
[Droniou et al., 2018]

- Discrete complexes (and analysis) on manifolds? [Droniou et al., 2024b]
- Discrete complexes (whether finite-element based or polytopal) are inherently hybrid-dimensional constructions.






↪ naturally adapted to problems with **interfaces** and **hybrid dimensions** such as:

- contact problems [Wriggers, 2006, Aldakheel et al., 2020],
- fluid-structure interactions [Beirão da Veiga et al., 2021],
- flows in fractured media (including, e.g., elastic behaviours)  
[Martin et al., 2005, Brenner et al., 2018, Droniou et al., 2024a],
- etc.

*requires efficient handling of meshes...*



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**Thanks!**

